

# Standardised support tools for urban resilience

A session by the RESIN project

Peter Bosch  
TNO, 27-04-2018

# The RESIN project



## City issues:

Getting a better overview of vulnerabilities and risks

Choosing the best (climate effective, cost effective) adaptation options

One-stop-shop for all you need in creating an adaptation strategy

## The issues:

- Weak connection between infrastructure and city adaptation (DRR vs CCA, involving infrastructure operators, silos in the administration)
- No standardization and hence little comparison between vulnerability and risk maps of cities; and between adaptation options
- Many tools around but little guidance on their use and usefulness

## RESIN project outcomes

Practical, applicable, do-able applications from theory

- a common conceptual framework (risk –vulnerability)
- a city typology
- a standardised approach to impact, risk and vulnerability assessment
- a catalogue of adaptation options, with specific work on increasing comparability
- decision support system
- steps towards formal standardisation

## Session overview:

Input: Bratislava and Risk assessment  
(Fraunhofer)

*Discussion on standardising vulnerability and risk assessments*

Input: Greater Manchester and adaptation  
planning (TNO)

*Discussion on co-creation and standardising climate adaptation strategy making (introduced by Tecnalia)*

Conclusion

# Integrating climate adaptation in the City of Bratislava

Eva Streberova  
Office of the Chief City Architect  
Bratislava, Capital City of the Slovak Republic  
27 April 2018

# Presentation outline

- Climate change hazards in Bratislava
- Adaptation journey of Bratislava City
- From Strategy to Action plan
- Challenges in integrating climate change adaptation
- Using RESIN´s standardised tools for urban resilience – examples from Bratislava



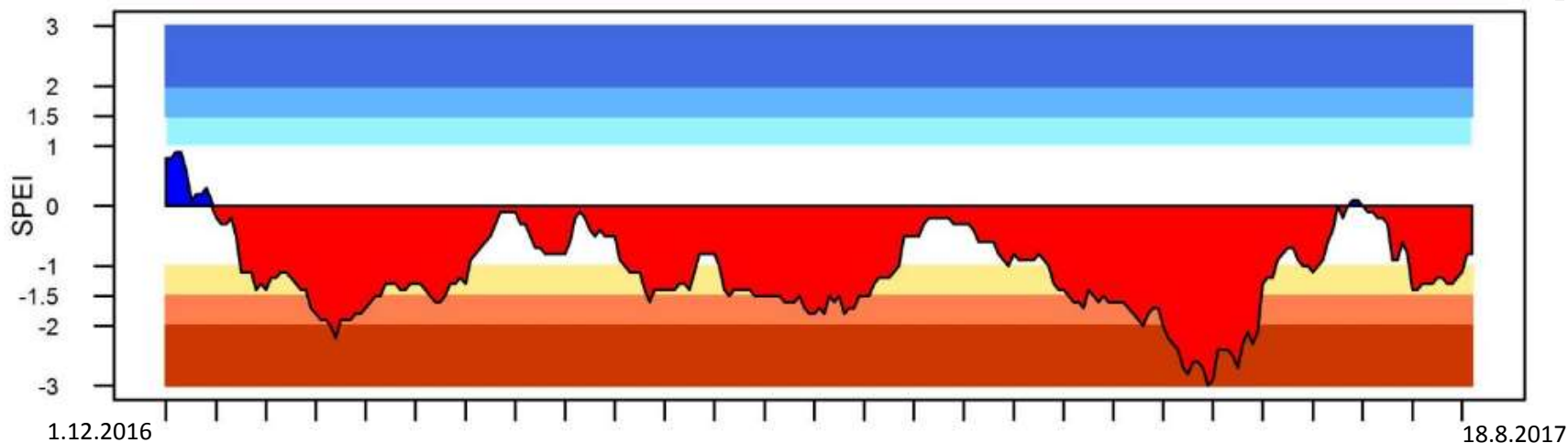
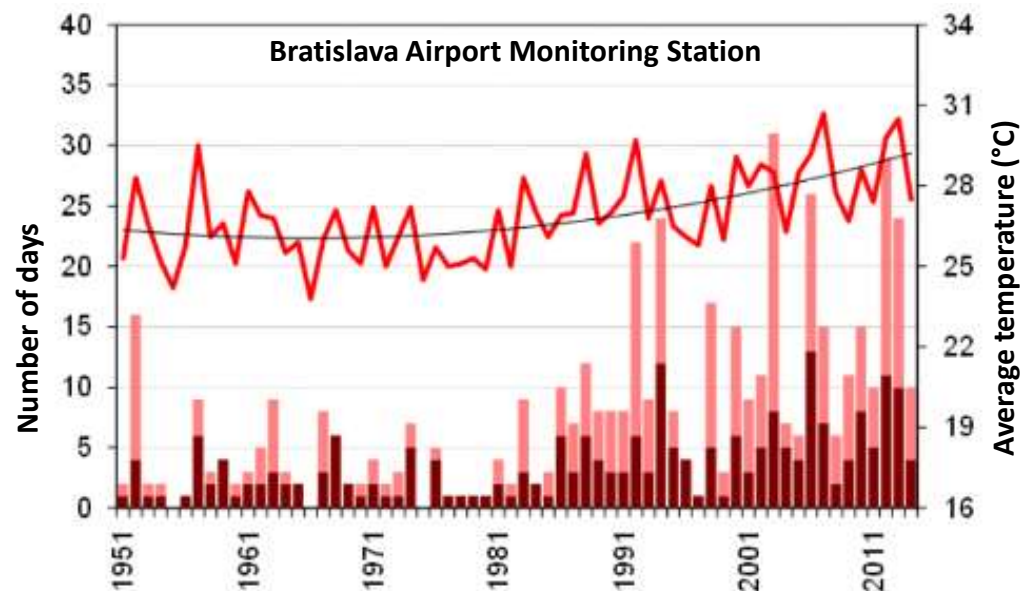
# Climate change hazards in Bratislava

## Rise in average temperature, more frequent heat waves ...

- in 2017 there were 4 heatwaves and a new record for a total number of tropical nights in Bratislava (31 in total)

## ... and periods of droughts

- 2017 was longest since 1981



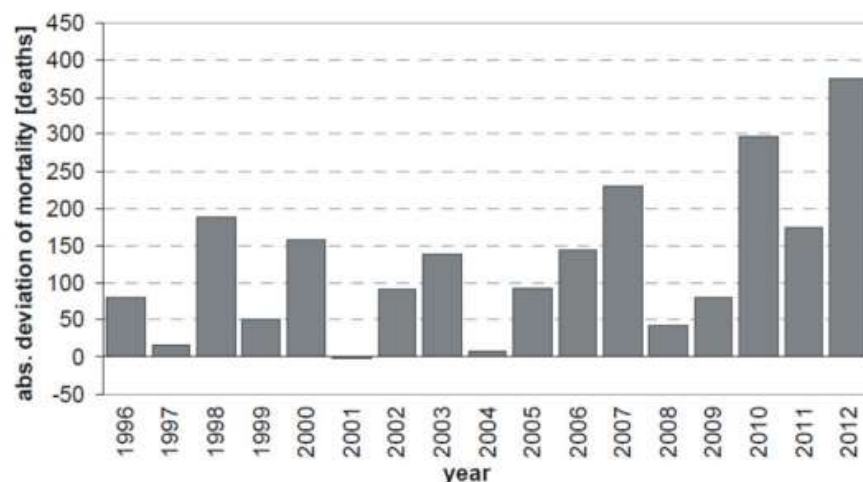
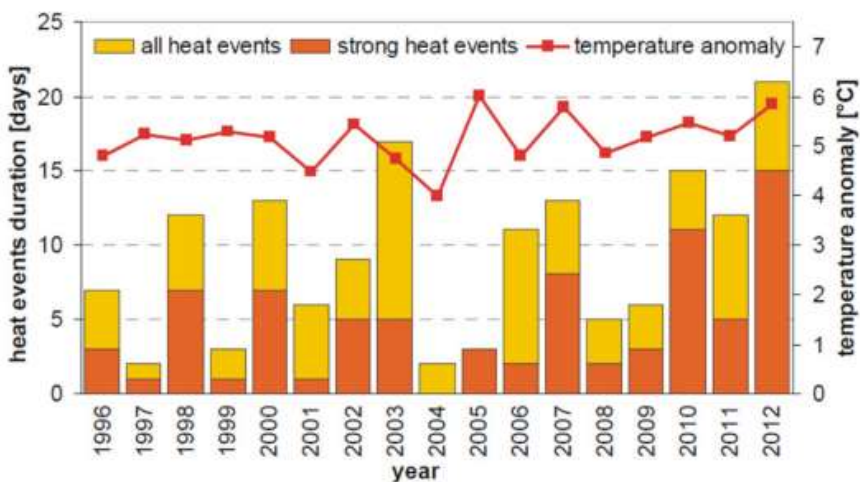
# Climate change hazards in Bratislava

## ... and flash floods from torrential rainfall ...

- tendency to occur after heatwaves, causing damage in lower areas, areas with higher share of impermeable surfaces.

## ... temperature extremes.

- impacts on human health.







# From Strategy to Action plan

Strategy for adaptation to negative effects of climate change for Bratislava City – adopted in 6/2014

Action plan for adaptation to negative effects of climate change for Bratislava City – adopted in 4/2017

Adaptation measures according to sectors



■ QUALITY OF LIFE

■ GREEN AND BLUE INFRASTRUCTURE

■ URBANIZED AREA

■ RAIN WATER MANAGEMENT AND WATER SECURITY

■ TRANSPORT

■ ENERGY



- From 83 adaptation options to 27 adaptation measures,
  - From vision and goals to tasks and milestones,
- 28 municipal, governmental and other stakeholders

# Challenges in integrating climate change adaptation

- **Governance structure & competencies** - among different governance bodies and authorities (the city, its boroughs, its organisations, local authorities)
- **Stakeholders & participation**
- **Design and co-create the new** – monitoring and communication framework
- **Report back** – Mayors Adapt and Covenant of Mayors
- **Update the outdated** – vulnerability assessment, the sectors and other areas of special attention

# Using RESIN´s standardised tools for urban resilience



- **Design and co-create the new**

- Communication and monitoring framework**

- *by using the learning centre of the **eGuide***
    - *in English speaking countries the options of the eGuide can be explored towards developing a such a strategy using the online environment of the tool*

- **Report back – Mayors Adapt and Covenant of Mayors**

- Bratislava is using the **IVAVIA tool and the Adaptation library** to:

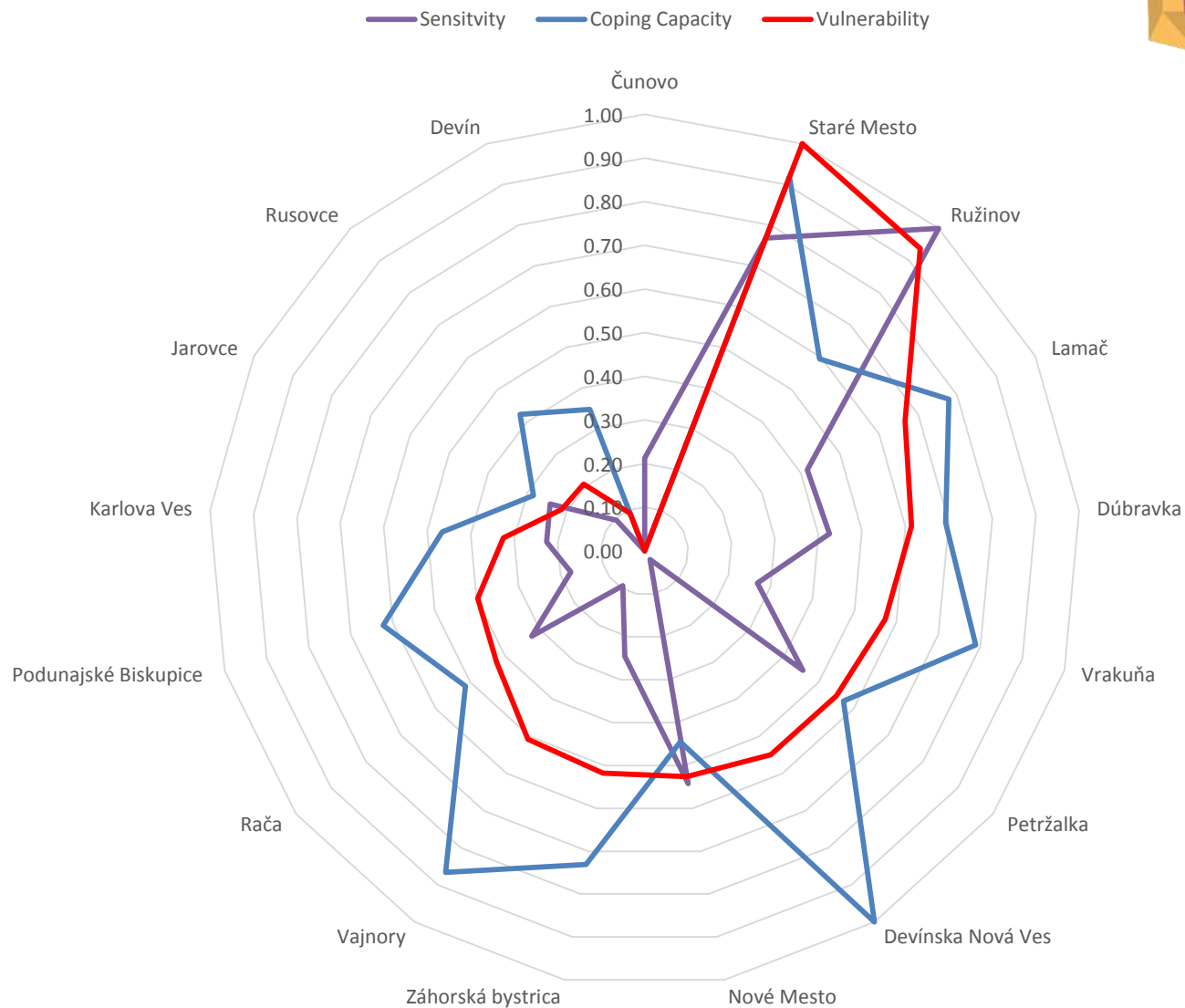
- *define indicators for the vulnerability assessment that are reported externally,*
    - *carry out assessments for the implemented adaptation options and report these (internally and externally)*

# Using RESIN´s standardised tools for urban resilience



- **Update the outdated – *sectors and areas of competence***  
By using the **Adaptation library** to:
  - to **choose** among different adaptation options in specific locations, depending on different criteria
  - as a **tool for the expert public**
- **Update the outdated – *vulnerability assessment***  
By is using the **IVAVIA tool**:
  - to increase the city´s resilience during heatwaves, droughts and torrential rainfalls
  - to support the **participation** of the City´s **stakeholders**
  - **logical approach** to defining the different elements along a chain (hazard – stressor - impacts - vulnerability – risk)
  - Its design respects the **limited resources** of a resilience officer (time, certain skills)
  - IVAVIA´s **supportive tools** to help with the calculations and producing other outcomes such as spatial visualisations (maps, impact chain diagrams, etc.).

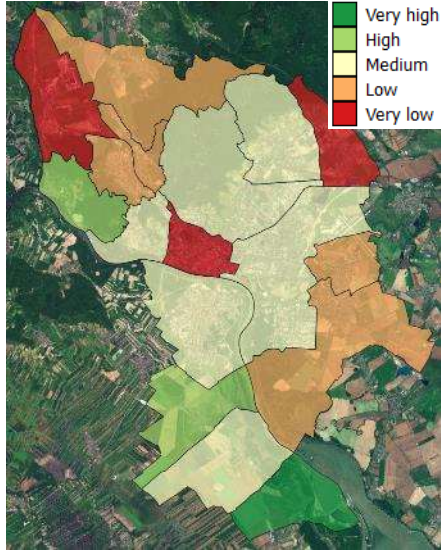
# Examples of visualization of results – IVAVIA tool



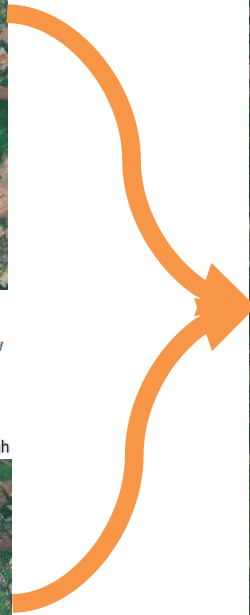
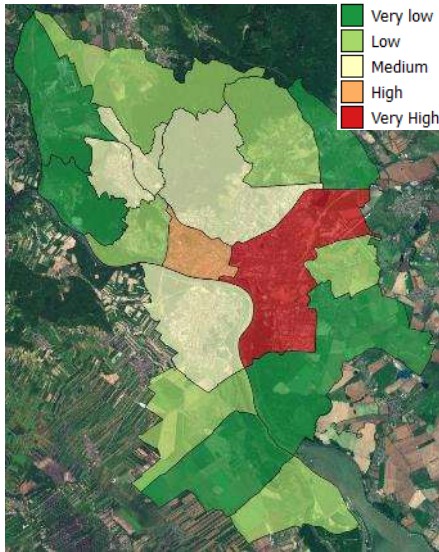


# Examples of visualization of results – IVAVIA tool

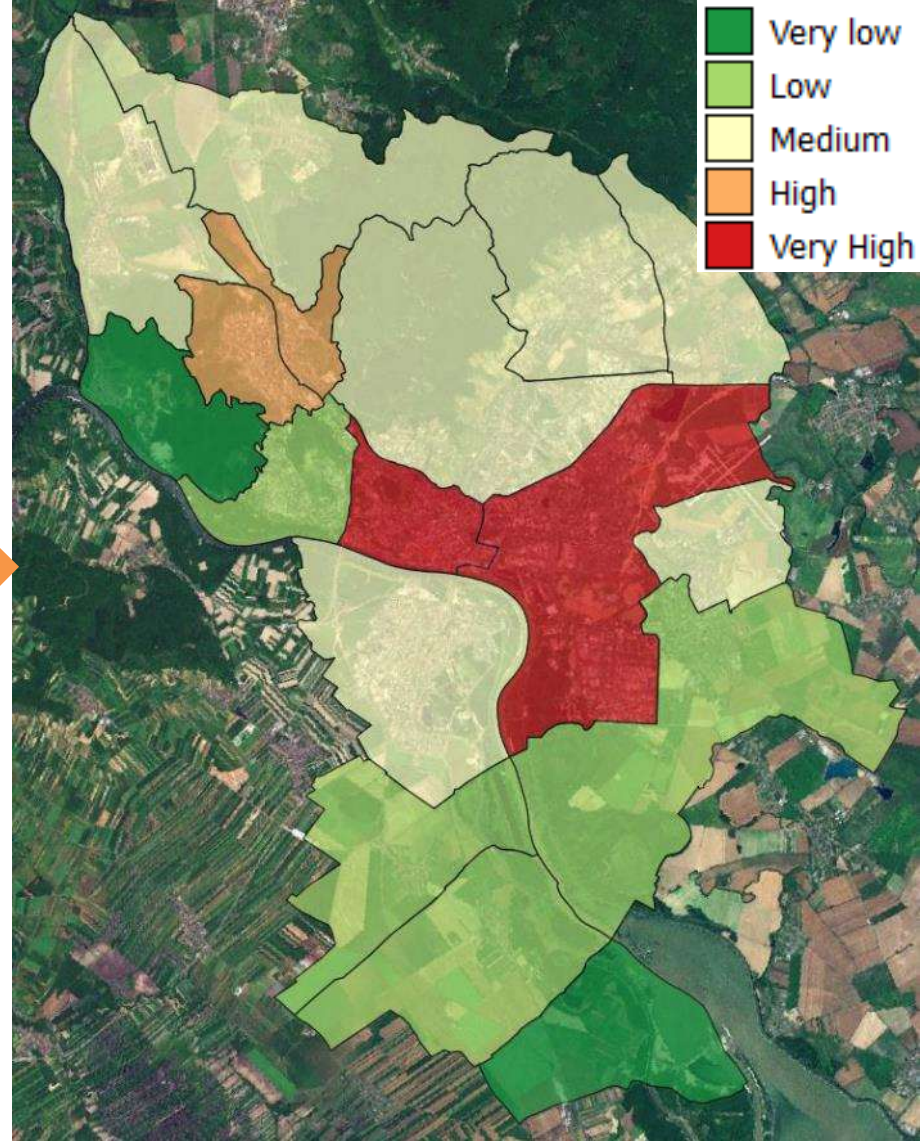
Coping Capacity



Sensitivity



Vulnerability



Thank you for your attention!

Office of the Chief City Architect

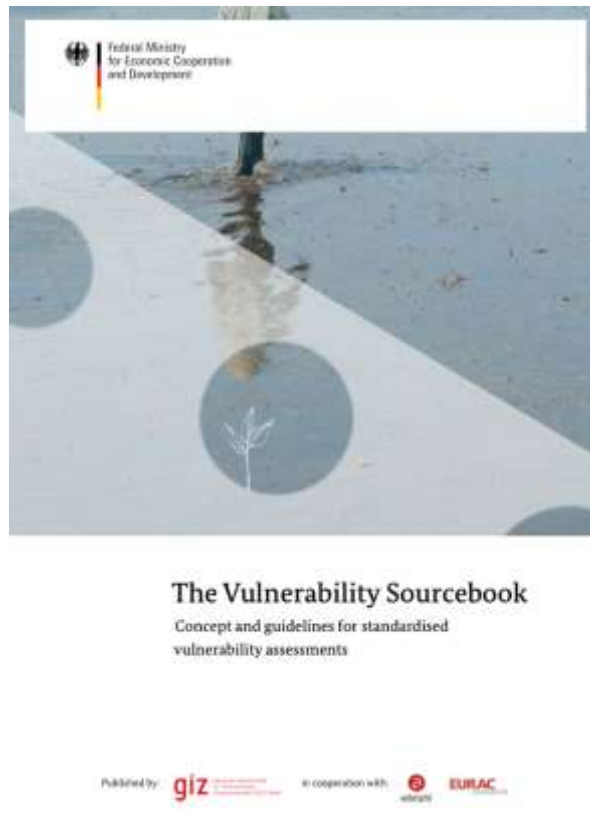
[architekt@bratislava.sk](mailto:architekt@bratislava.sk)

# Risk-oriented vulnerability assessment for climate change

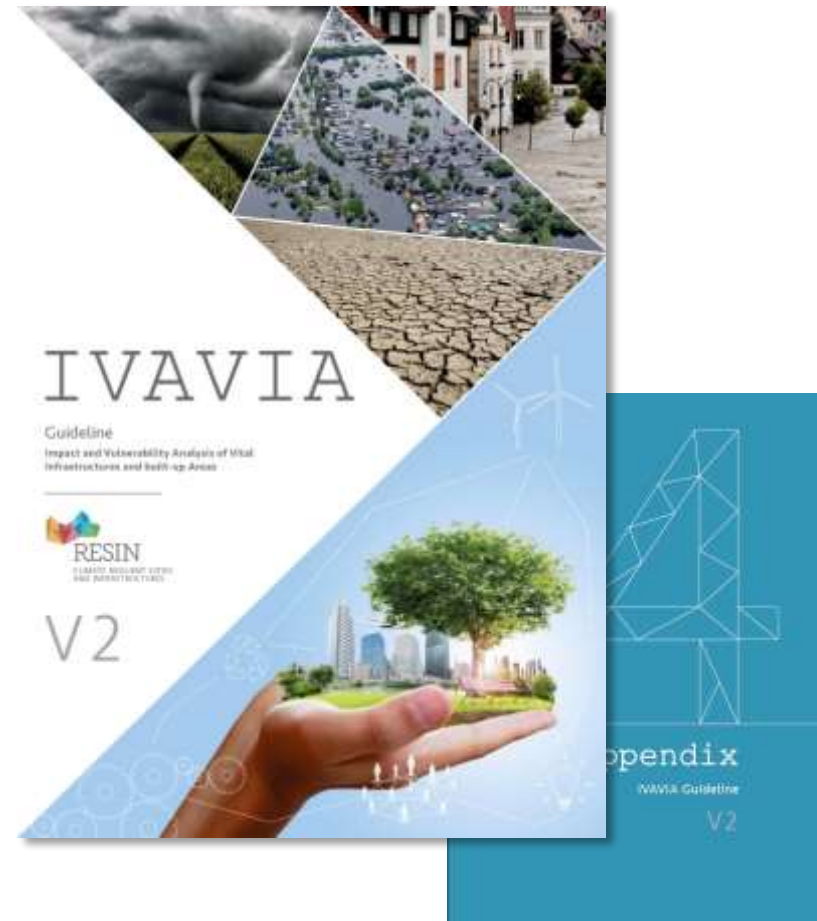
A standardised, modular approach  
for cities and infrastructures

Dr. Daniel Lückérath  
Researcher, Fraunhofer IAIS  
2018-04-27

- Provide a standardised process
  - for conducting a **risk-oriented** assessment of climate change **impacts and vulnerabilities**
  - for **urban areas** and infrastructures
  - that can be **adapted to local conditions**
  - is supported by **guidance and tools**



IPCC AR 5



Source: BMZ, 2014. The vulnerability sourcebook. Concept and guidelines for standardised vulnerability assessments. Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) GmbH, Bonn and Eschborn, Germany, 2014.



# How does it work?

Which hazards and drivers are relevant to my city?

M0

Selecting Hazards and Drivers

How do I start the assessment process?

M1

Preparing for Vulnerability Assessment (VA)

Qualitative  
stages

What are the cause-effect relationships relevant to my city?

M2

Developing Impact Chains

Quantitative  
stages

How do I want to measure influencing factors and what data do I have available?

M3

Identifying Indicators and Data Acquisition

How do I combine the gathered data?

M4

Normalisation, Weighting, and Aggregation of Indicators

How do I assess vulnerability/risk?

M5

Aggregating Vulnerability Components to **Risk**

Presentation

How do I present the results?

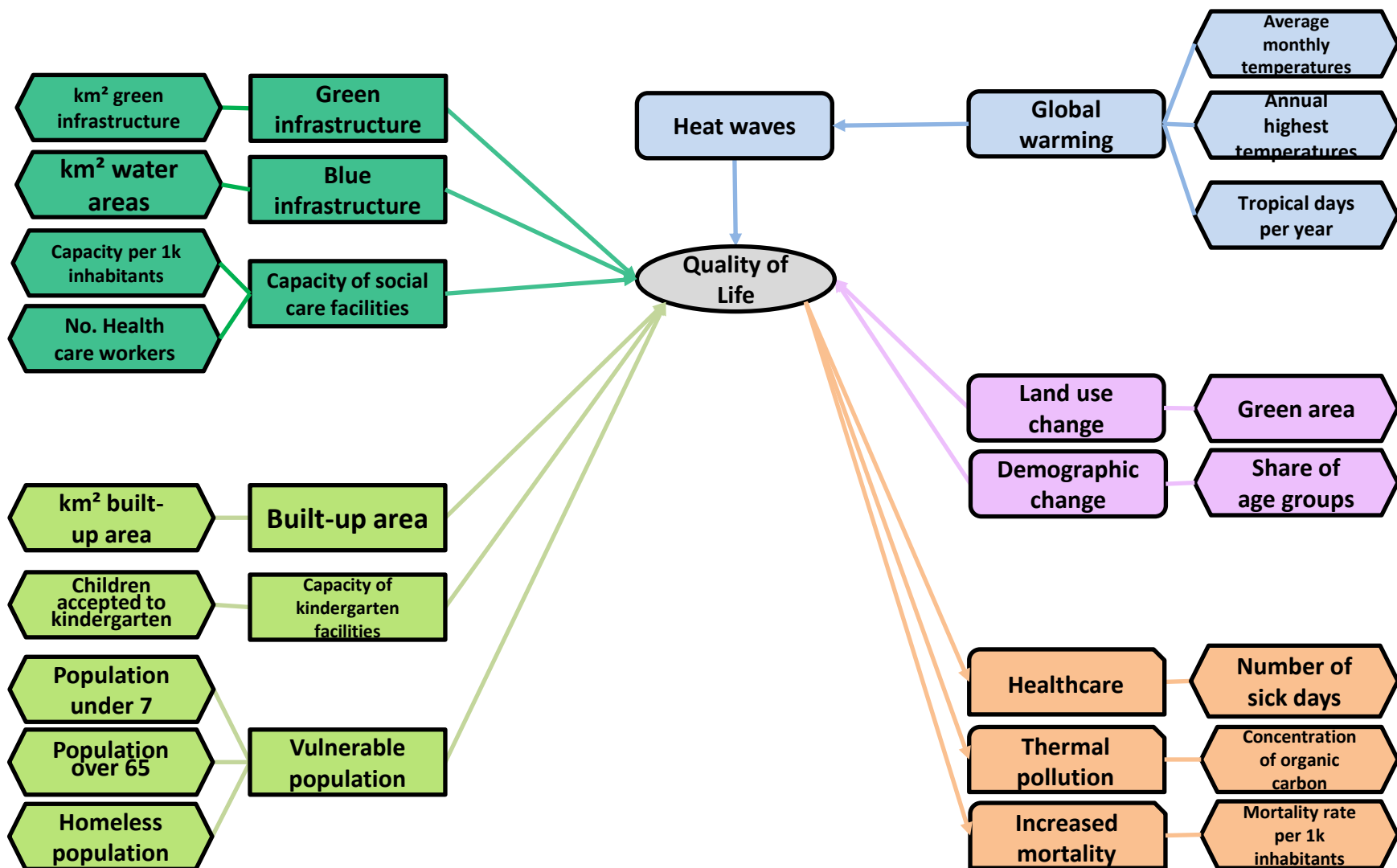
M6

Presenting the Outcome of Your VA to the Stakeholders

# Developing Impact Chains



# Developing Impact Chains



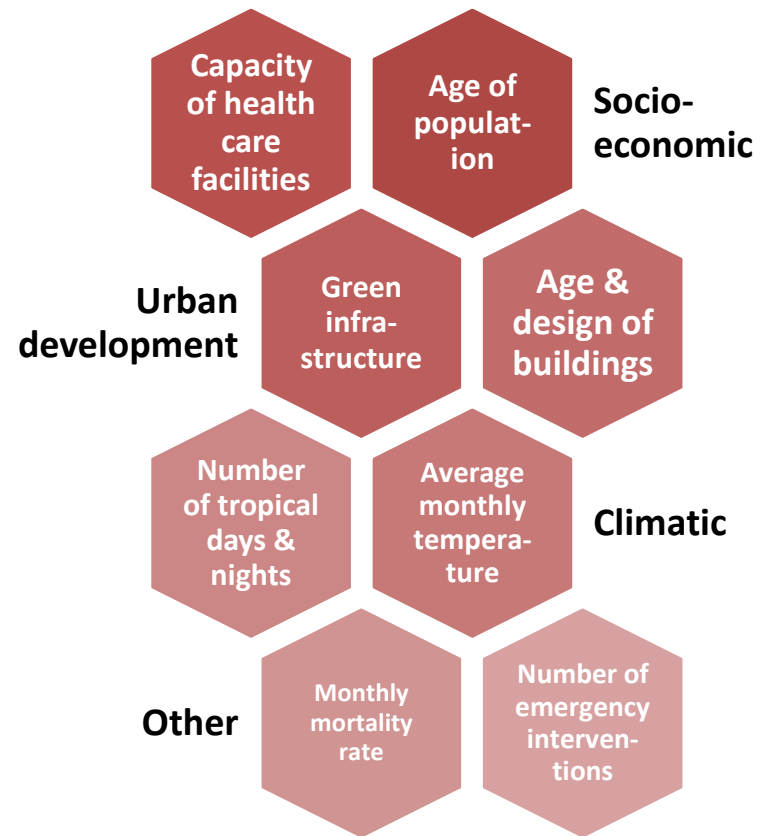


# Developing Impact Chains

- Qualitative, structured assessment of alleviating / intensifying factors and potential impacts
- Highly valuable for
  - building a common understanding
  - communicating cause-effect relationships
  - identifying relevant areas where actions could be taken
  - identifying further stakeholders that might be helpful during the assessment

- Data for all identified indicators has to be acquired. Requires
  - interaction with multiple departments, external institutions, open source frameworks
  - analysis and clean-up of data

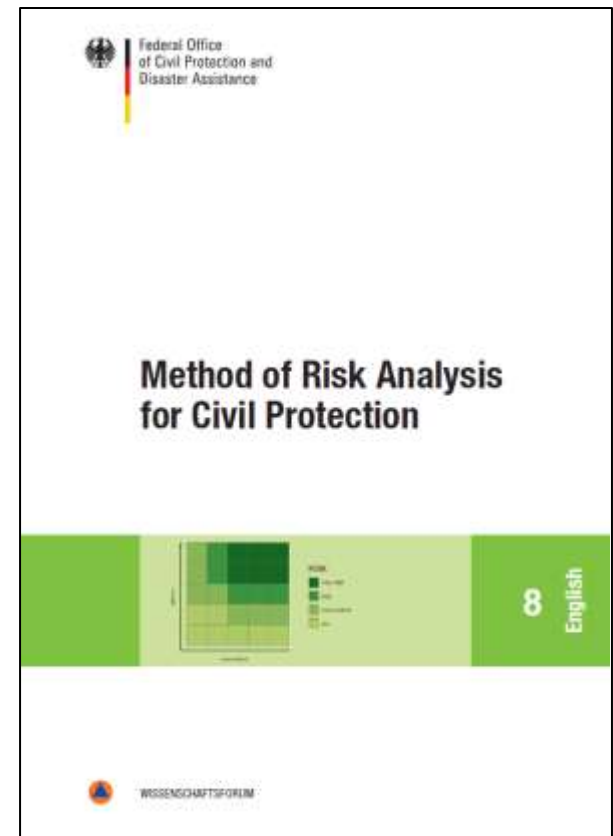
→ Easily the most resource intensive / time consuming step



# Vulnerability / Risk

- Indicator data is aggregated to **composite scores for sensitivity, coping capacity, and vulnerability**
- **Impacts and probabilities** are estimated using historical data of indicators and/or damage functions, combined with vulnerability score

- Classify impacts and probabilities using **discrete, ordinal classes**
- Impact/probability pairs are assigned to risk classes using a **risk matrix**
- National/regional **standards or guidelines** exist



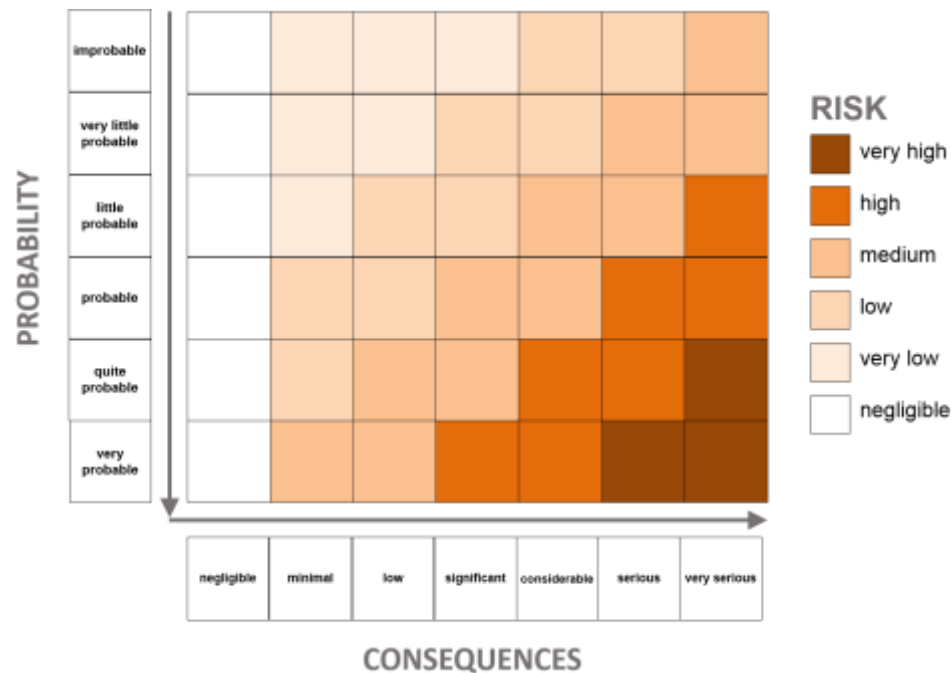
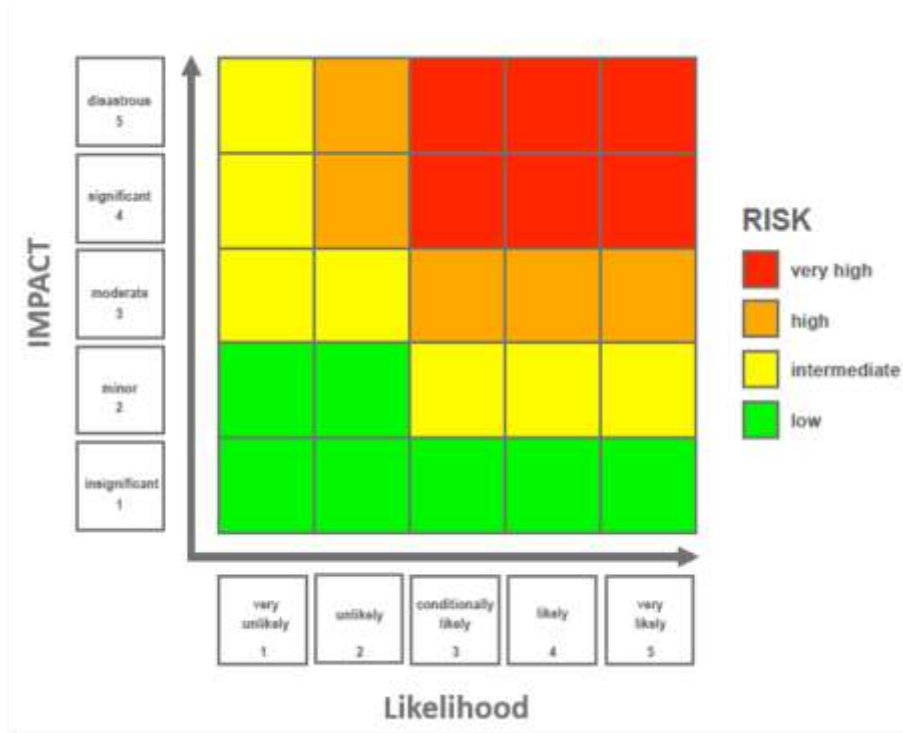
Source: German Federal Office of Civil Protection and Disaster Assistance: method of Risk Analysis for Civil Protection. Wissenschaftsforum, Volume 8. 2011.



RESIN

# ISO 31000: Risk matrices

Resilient  
Cities 2018

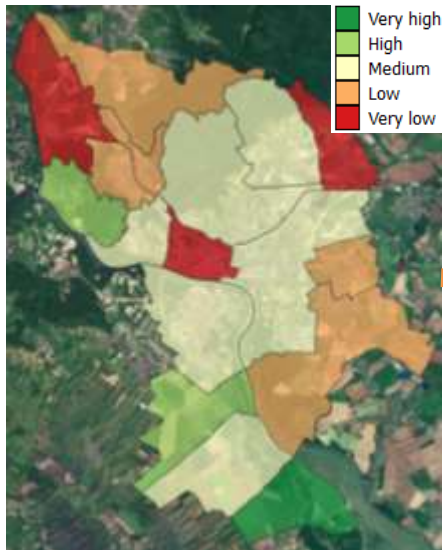


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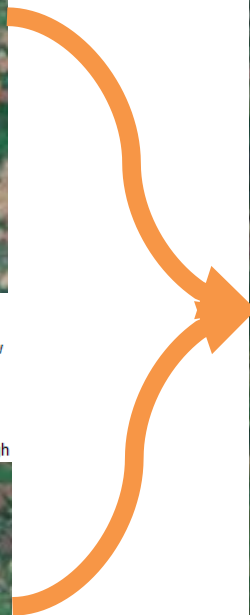
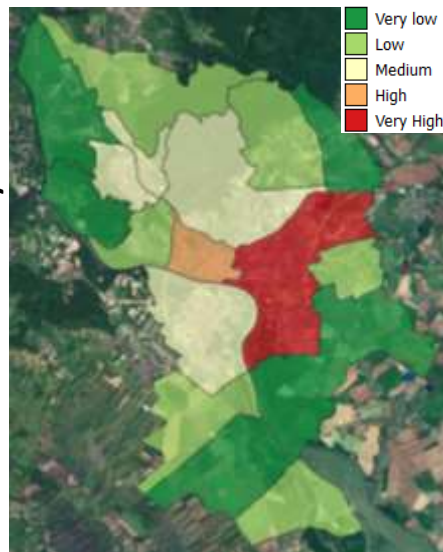
Source: Solaun, K., Gómez, I., Urban, J., Liaño, F., Genovês, A., 2014: Integración de la adaptación al cambio climático en la estrategia empresarial. Guía metodológica para la evaluación de los impactos y la vulnerabilidad en el sector privado. Oficina Española de Cambio Climático, Ministerio de Agricultura, Alimentación y Medio Ambiente. Madrid, 78 pg

# Results

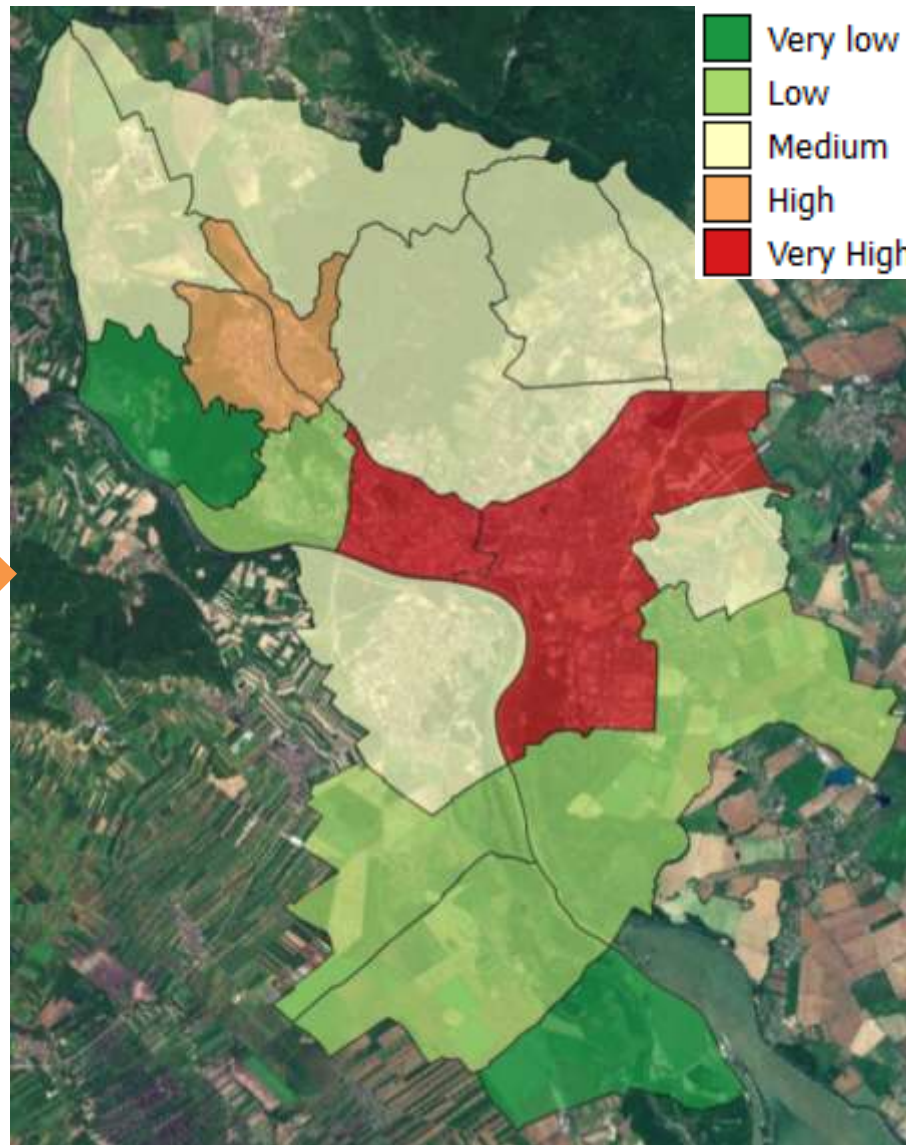
Coping Capacity



Sensitivity

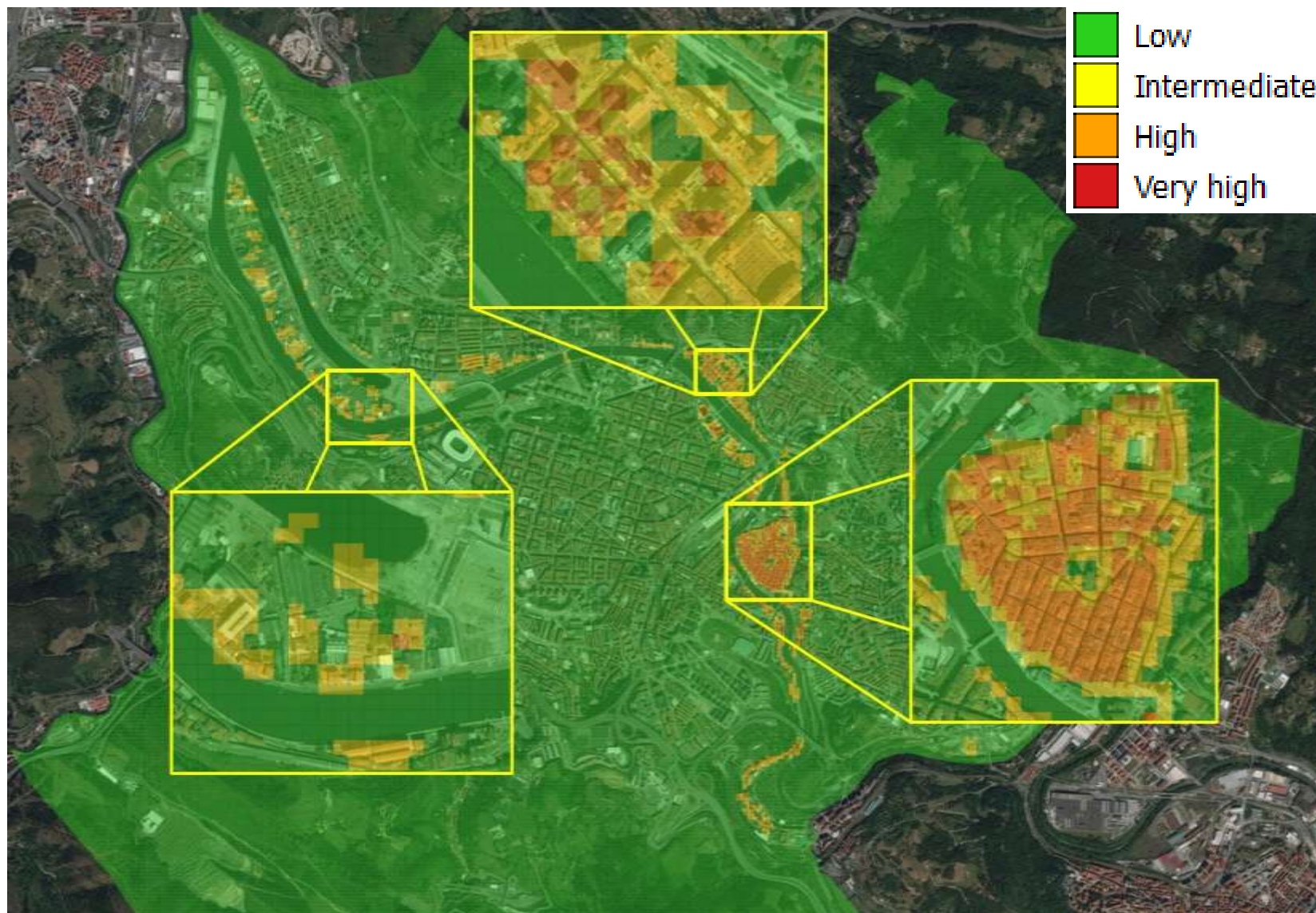


Vulnerability





# Results



# Conclusions

- **Standardized, modular process** for conducting a risk-oriented assessment of impacts and vulnerabilities
- Qualitative stage: Only limited resources available or quantitative assessment previously conducted
  - **Impact Chains** for qualitative, structured assessment of alleviating / intensifying factors and potential impacts
- Quantitative stage: Resources available or no quantitative assessment previously conducted
  - **Maps** to identify areas most at risk for further adaptation planning
- Presenting the results: Objective and target audience are key!



# Thank you!

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[daniel.lueckerath@iais.fraunhofer.de](mailto:daniel.lueckerath@iais.fraunhofer.de)

# Discussion questions 1th round

- Why is it important to standardize vulnerability and risk assessments?
- What are your experiences in using standardised guidelines/tools for vulnerability and risk assessments?
- What do you think of the RESIN approach? Useful? Replicable in other continents?

# Standardising City Adaptation Strategies – an impossible dream?

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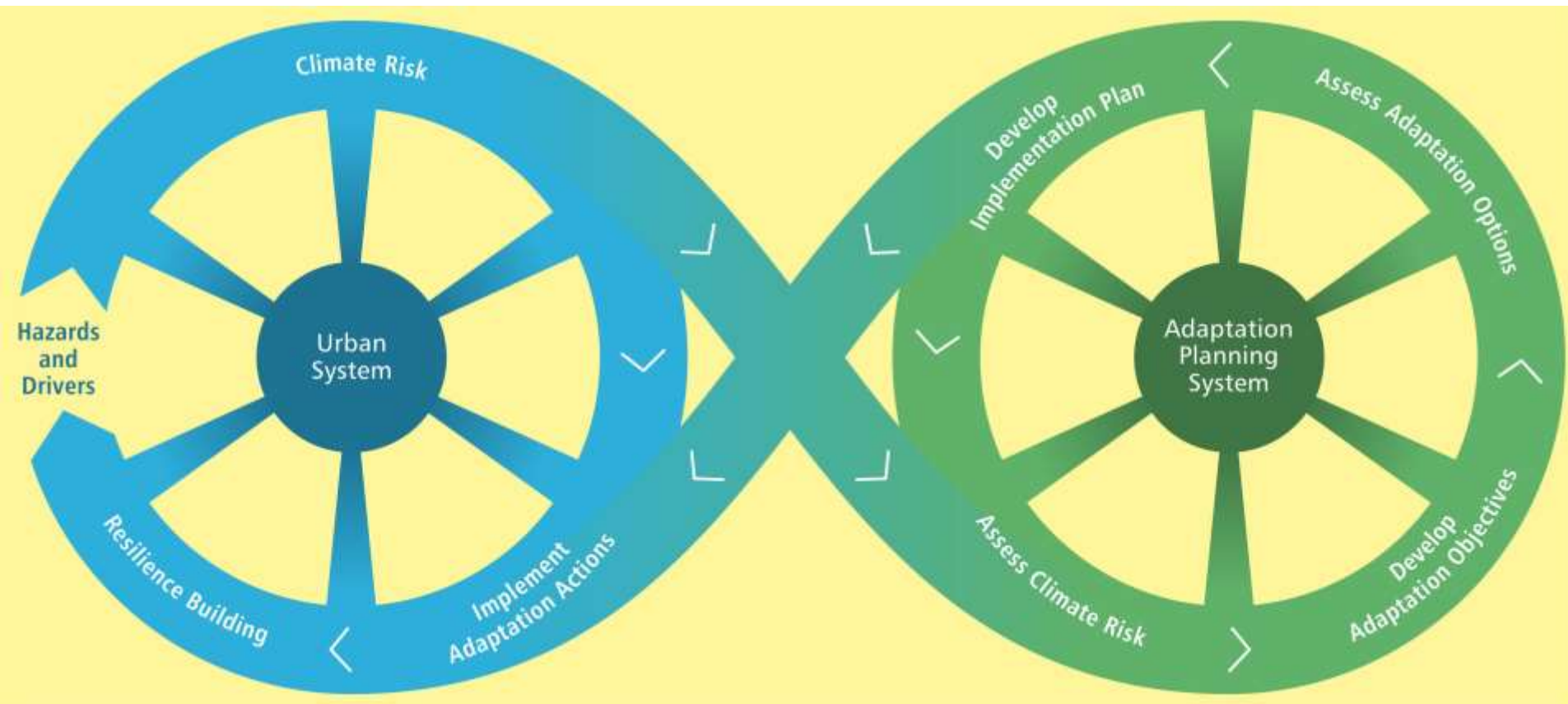
**9<sup>th</sup> Global Forum on Urban Resilience and Adaptation:**  
*Standardised support tools for urban resilience, integrating  
resilience planning into local decision-making*

Matt Ellis (Climate Resilience Officer, GMCA)

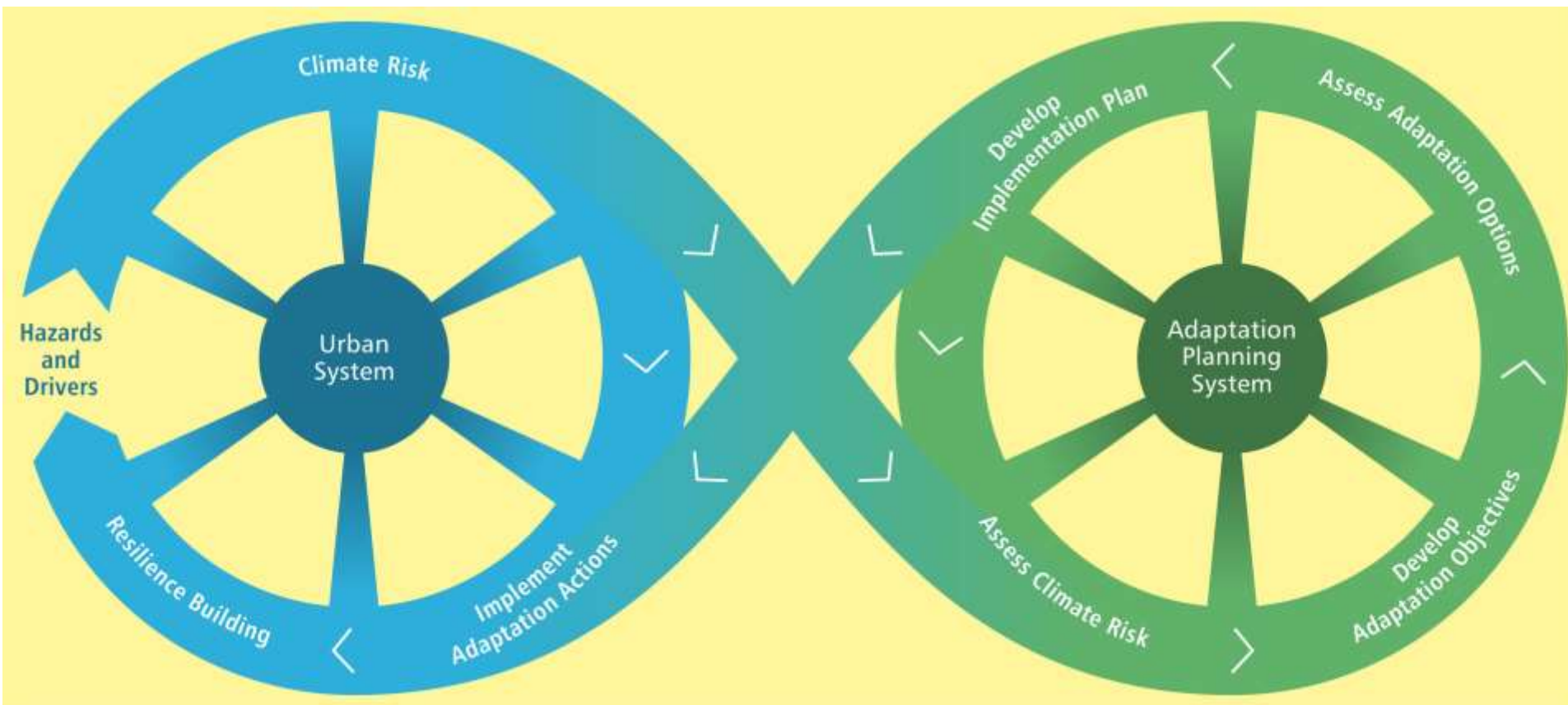
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- **City Adaptation Strategies** – standardised processes, not standard products / documents
- **Greater Manchester's experience** – co-creating its adaptation planning process
- **Critical challenges** - the 3 linked C's: complexity, capacity and consistency
- **The opportunity for cities** - using standardised approaches (and co-creation/collaboration) more widely

## Standardised processes not standard products



## co-creating our adaptation planning process





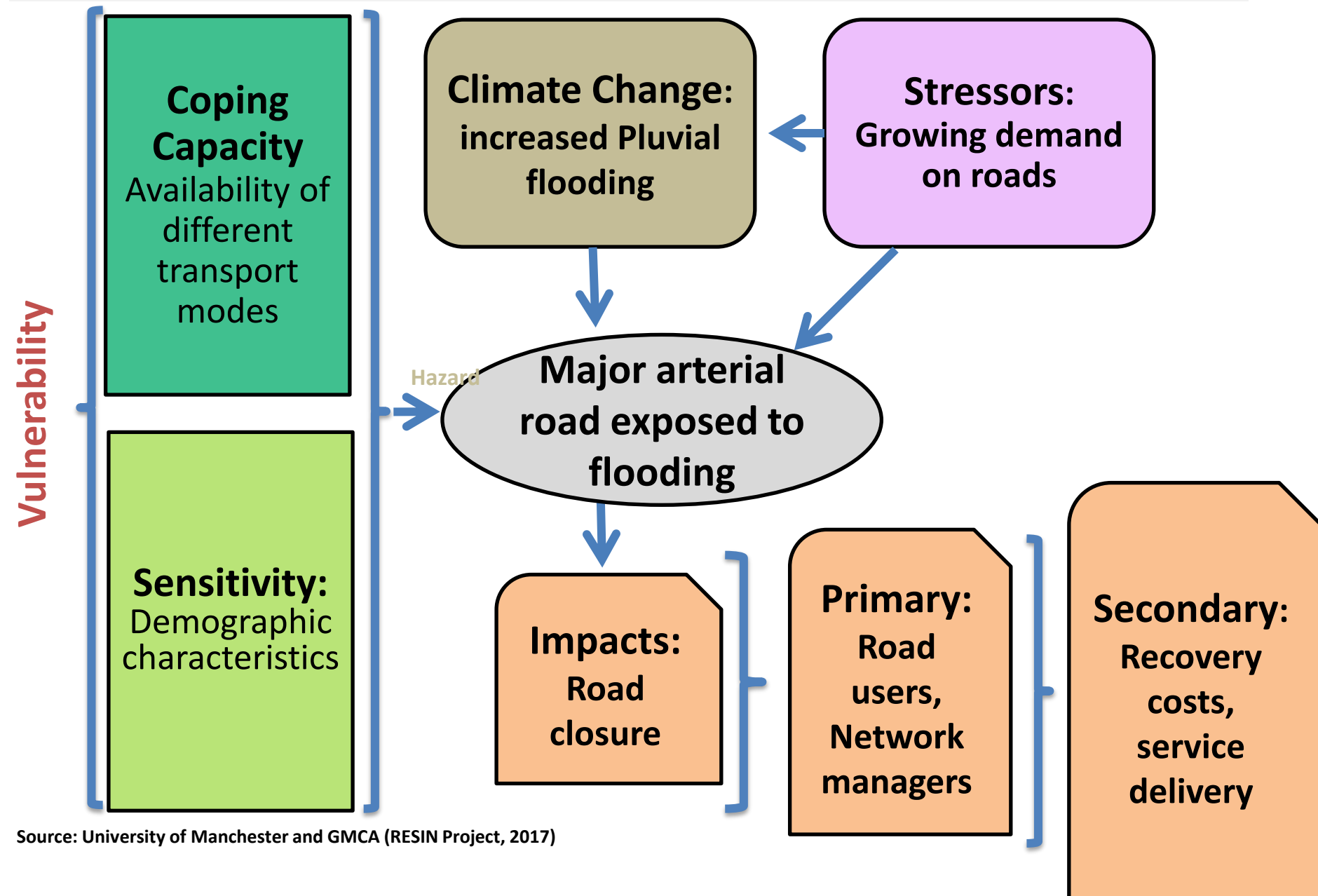
## Working with Transport for Greater Manchester (TfGM)

### Specific Actions from GM's Climate Change and Low Emissions Strategy (2017) :

- **A12: Identify key risks to transport infrastructure** posed by increased incidence of flooding and heat as part of Transport Strategy and Planning.
- **A13: Integrate requirements for shelter from extreme weather and heat** into building design and transport systems as part of a sustainable design guide.



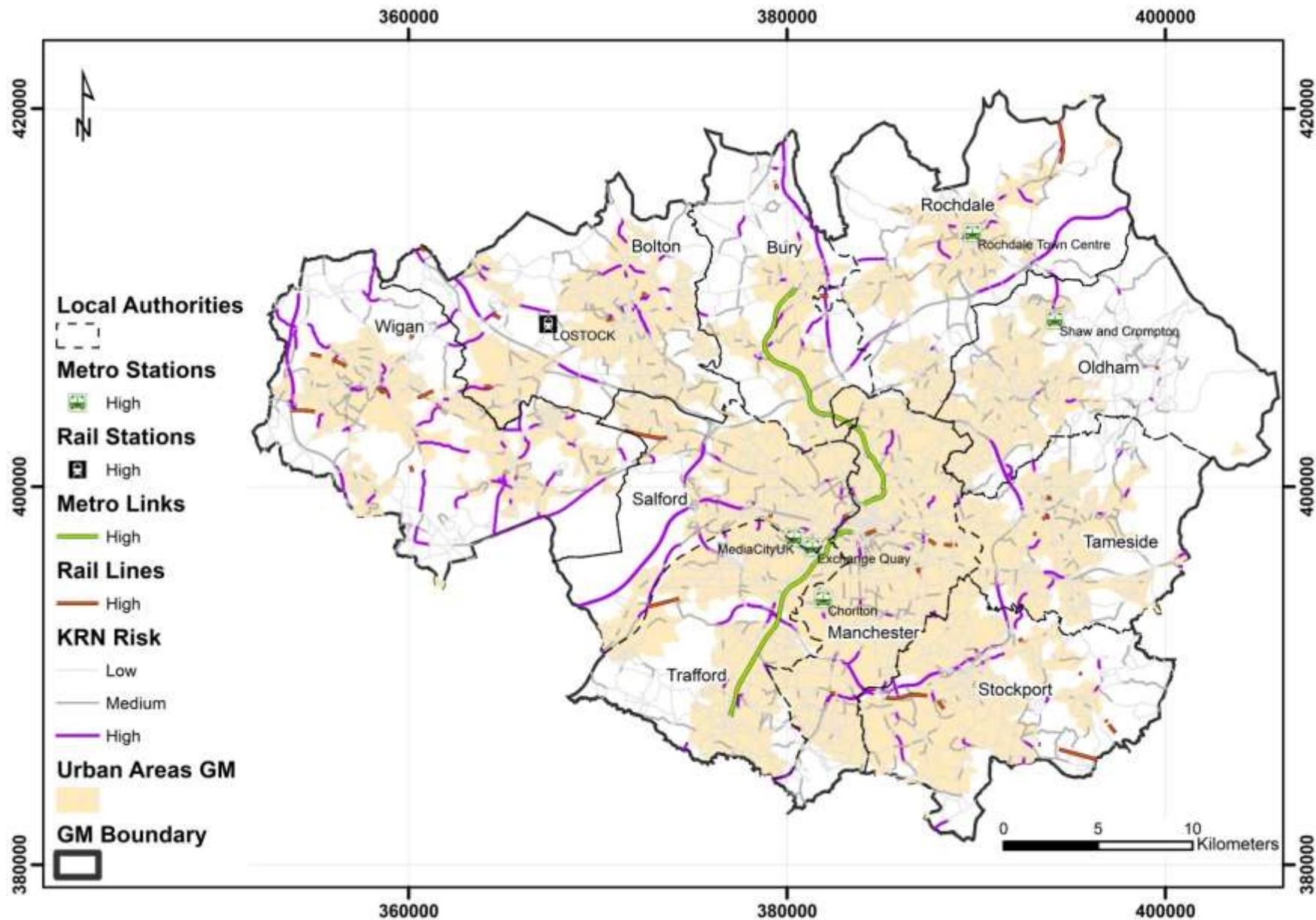
# Impact chain: Pluvial flooding to major arterial roads in Greater Manchester



Source: University of Manchester and GMCA (RESIN Project, 2017)



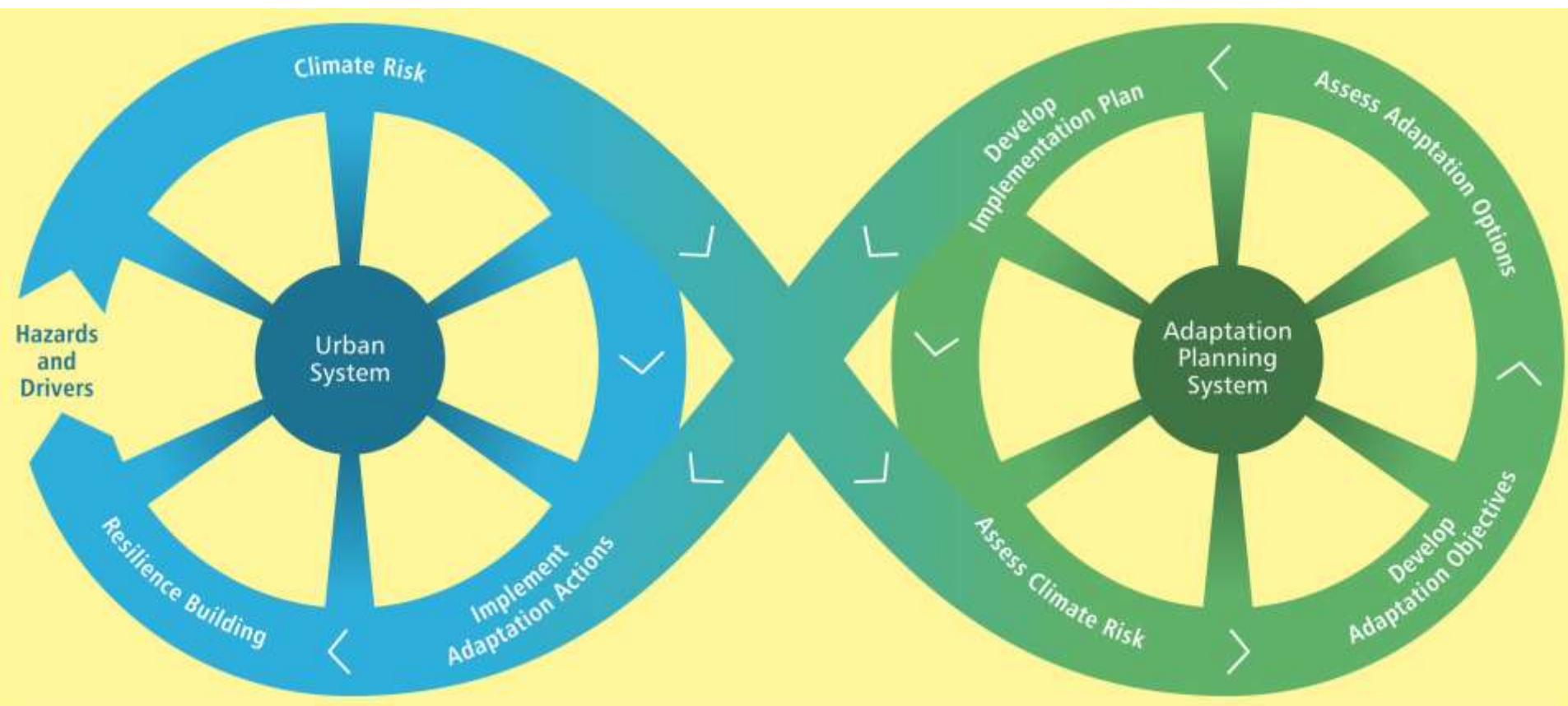
# A GM transport flood risk assessment





**3 linked C's: complexity, capacity and consistency....**

**But a real opportunity for cities**





# Standardisation of the development of a climate adaptation strategy

Possibilities, limitations and practical  
example

Albert Nieuwenhuijs  
Senior Researcher at TNO  
27/04/2018

# Contents

- Standardisation of the adaptation process
  - Aim
  - Context
  - Practical limitations
- RESIN e-Guide
  - Offered support
  - Approach to limitations in standardization
- Relation to other platforms

# Standardisation aim

- Uniformity in:
  - Quality of decision process and results  
(walking the right path, doing the right things)
  - Considered aspects  
(looking at the right things)
  - Level of detail considered  
(zooming in to the right level)



# Standardisation limitations

- Process:
  - One complete process iteration is long and complex
  - By nature iterative, therefore any step within process is likely to be visited more than once
  - Urban, multi-stakeholder, political context and due to long throughput time: changing opportunities and circumstances → limited control over sequence of steps
  - Usually combined effort of multiple people focusing on various topics related to climate adaptation → several tracks of climate adaptation in parallel, hard to keep efforts aligned
- Conclusion: **strict sequential process unpractical or impossible**

# Standardisation limitations

- Outcomes:
  - Various ways (tools, methods) to complete any step in process, not always compatible, not always same quality / level of detail
  - Not every approach suited for every situation
  - Interdependency on choices for approaches ==> choose approach x in early step ==> no longer possible to choose approach y in later step
  - Need for guidance to produce consistent results, fitting the requirements of the city <> not always most detailed is best
- Conclusion: flexibility is needed, but comes at the cost of strict compatibility of results

# RESIN e-Guide

## Support for uniform process

Flexibility in sequence process requires good overview and collaboration tools.

- Overview all steps → know what's coming
- Structure allows for starting and stopping at any step, but with informed consequences: prerequisites to finish each step successfully, consequences for following steps\*
- Functionality to:
  - Coordinate activities between various employees
  - Store results of adaptation process and make them available centrally
  - Monitor progress over the entire adaptation process

\*optional: Restrict progress to allowing only starting steps for which all preconditions have been fulfilled

# RESIN e-Guide

## Support for uniform process

- Example overview steps

Assess climate risk	Develop adaptation options	Prioritize adaptation options	Develop implementation plan
▼ Scoping	▼ Role definition	▼ Role definition	▼ Role definition
▼ Awareness	▼ Exploration of adaptation approaches	▼ Generate options for adaptation approaches	▼ Implementation plan
▼ Role definition	▼ Select adaptation approaches	▶ Prioritising adaptation options Choose methodology and criteria Assess adaptation options Check for compliance with requirements Identify interactions	▼ Monitoring and evaluation plan
▼ Risk assessment	▼ Commitment		▼ Commitment
▼ Goal definition	▼ Communication		▼ Communication
▼ Baseline		▼ Select adaptation options	
▼ Commitment		▼ Commitment	
▼ Communication		▼ Communication	

# RESIN e-Guide

## Support for uniform process

- Example step description preconditions

### Climate Threat.

Edit

#### Goal of this aspect

Having identified a problem in [Problem definition](#), this aspect concerns the exploration of the underlying causes of this problem and gaining preliminary insight in their severity. With regards to [climate change](#) in Europe, five [climate](#) threats can be distinguished:

- [heat waves/stress](#),
- [pluvial flooding](#),
- [fluvial flooding](#),
- [coastal flooding](#) and
- [drought](#).

#### Preconditions

Having a clear [Problem definition](#) is essential for this step, as this determines what [climate](#) changes relate to the problem. [Climate](#) changes can be labeled a [threat](#) or not, depending on the defined problem at hand. Identifying the [climate](#) threats that might [impact](#) the city or asset requires an understanding of local circumstances such as geography, past extreme events and local/regional [climate](#) projections. This information needs to be available to successfully finish this step.

#### Results

The outcome is a list of [climate](#) threats that could potentially affect the city or asset, including a description of local historical events (frequency and severity) and a first insight in future occurrences ([likelihood](#) and potential [impact](#)), resulting in a first indication of the [risk](#) of a [threat](#).

#### Guidance on performing this aspect




# RESIN e-Guide

## Support for uniform process

- Use of projects: Multiple users

**Edit project.**

**Edit the details of the Adaptation Plan**


Name  
test project Resilient cities 


Description  
test project Resilient cities


Visibile


☐ Only you


☒ Share with others

Albert Nieuwenhuijs - TNO 

Peter Bosch - TNO 

Tara Geerdink - TNO 

Vera Rovers - TNO 



**EDIT** **CANCEL**

# RESIN e-Guide

## Support for uniform process

- Use of projects: Store information

The screenshot displays the RESIN e-Guide web application interface. The browser's address bar shows the URL: `e-guide.resin.itti.com.pl/dsc/decisionframework/project/128/phase/509/step/`. The main content area is titled "Decision framework" and contains a grid of steps: "Assess Climate Risk", "Develop Adaptation", "Prioritise Adaptation Options", "Scoping", "Awareness", "Role definition Risk", "Goal definition", and "Baseline". Below this grid, there are labels for "Problem definition", "Climate threat", "Non-climate", "Stakeholders", and "Time horizon". A note is visible at the bottom of the framework section: "It is suggested to complete ASPECT 1.1.1 before". On the right side, a "Notes & Files" panel is open, featuring a "CREATE NEW NOTE" button. A specific note is highlighted with a blue border and a callout box. The note is titled "Note 1" and dated "2018-04-25 11:56". The text of the note reads: "Did a calculation for the number of tropical days expected for 2050. Report is attached" followed by a blue hyperlink: [KEA-180425115524.pdf](#).

Decision framework

Assess Climate Risk Develop Adaptation Prioritise Adaptation Options

Scoping Awareness Role definition Risk Goal definition Baseline

Problem definition Climate threat Non-climate Stakeholders Time horizon

It is suggested to complete ASPECT 1.1.1 before

Notes & Files

CREATE NEW NOTE

Note 1 • 2018-04-25 11:56

Did a calculation for the number of tropical days expected for 2050. Report is attached

[KEA-180425115524.pdf](#)

# RESIN e-Guide

## Support for uniform process

- Use of projects: Status overview

Details

Project name: test project Resilient cities

Created: 20/04/2018

Team members: Albert Nieuwenhuijs, Peter Bosch, Tara Geerdink, Vera Rovers

Completed:

Phase 1

Step 1.1

Step 1.2

Step 1.3

Step 1.4

Step 1.5

Step 1.6

Step 1.7

Step 1.8

←

←

←

completed

started

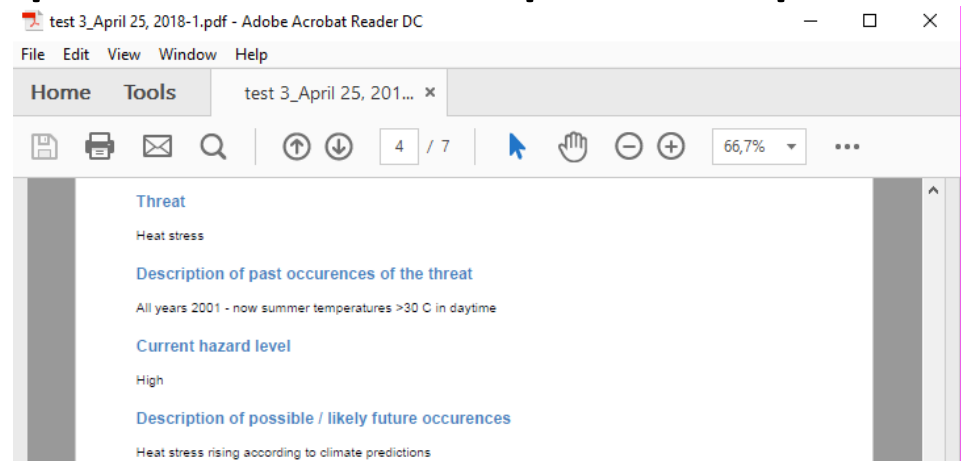
not started yet

OK

# RESIN e-Guide

## Support for uniform process

- Use of projects: complete status report in pdf



Did a calculation for the number of tropical days expected for 2050. Report is attached

**KEA-180425115524.pdf:**

[dev.itti.com.pl/api/anonymous/attachments/c691847d-053b-44d1-be29-69f26a9850fa](http://dev.itti.com.pl/api/anonymous/attachments/c691847d-053b-44d1-be29-69f26a9850fa)

Direct link

ASPECT\_1\_1\_2

Did a calculation for the number of tropical days expected for 2050. Report is attached

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[dev.itti.com.pl/api/anonymous/attachments/c691847d-053b-44d1-be29-69f26a9850fa](http://dev.itti.com.pl/api/anonymous/attachments/c691847d-053b-44d1-be29-69f26a9850fa)

1.1.3. Aspect 1.1.3

## Support for uniform outcomes

- Overview available methods and tools, both RESIN and external, when to apply, where to apply
- In each step, we provide general guidance how to perform the step and what tools might be suited to what situations (including heads-up for consequences down the line)
- Also each step, list of existing and new (RESIN) available tools **and concrete instructions how to use them to get relevant and good results for finishing the step**
- Forms for each step provide details and uniform structure to answers independently use used tools
- Use of uniform framework / terminology



# RESIN e-Guide

## Support for uniform outcomes

- Overview of tools
  - Categorised in topics
  - Indexed on practical indicators
  - Short textual remarks with practical pointers

Overview of RESIN and external tools that can be used beneficially in urban [climate adaptation](#) planning:

Climate drivers, climate threats, exposure							
	Method or tool	Free to use	Suited for beginner	Thorough	Quick	Autonomous use	Remarks
3Di	T			X			Only covers water management
Climate Impact Atlas	T	X	X		X	X	Only covers area of the Netherlands, Dutch language only
LCLIP (Local Climate Impacts Profile)	T	X	X	X			Systematic step-by-step <a href="#">method</a> to assess <a href="#">exposure</a> to weather conditions. Primarily aimed at the <a href="#">organisation</a> level (not complete cities). Supported by Excel <a href="#">tool</a> to gather and assess results.
RESIN Climate Risk Typology	T	X			X	X	Quick <a href="#">tool</a> that produces indicators that are relevant for determining <a href="#">climate</a> threats, drivers, stressors and risks, based on available statistics of your NUTS-3 region.
RAMSES Urban climate projections and climate impact detection	M	X		X		X	The <a href="#">method</a> is intended to carry out a first assessment and lay the groundwork to keep track of the effects of <a href="#">climate change</a> . Thorough for a first assessment.
CLIMADA Natural catastrophe damage model	T	X		X			Is limited to storm, earthquake, meteorite, volcano and flood hazards. Runs in MATLAB or GNU OCTAVE. Expert support required for practical use.
Risk Zone Map	T	X	X		X	X	Only covers flooding due to sea level rise
Blue Green Dream	T	X	X		X		Supports the modelling and calculation of water management situations before and after <a href="#">adaptation</a> measures have been taken.
Vulnerability, Impact, Risk							
	Method or tool	Free to use	Suited for beginner	Thorough	Quick	Autonomous use	Remarks
							Extensive systemic guideline describing all steps to perform a qualitative and quantitative <a href="#">Risk-based Vulnerability Assessment</a> . Based on the German

# RESIN e-Guide

## Support for uniform outcomes

- Concrete instruction when and how to use tool for any step in process



[HOME](#) / [ASSESS CLIMATE RISK](#) / [SCOPING](#) / [CLIMATE THREAT](#)

### Climate Threat

#### Goal of this aspect

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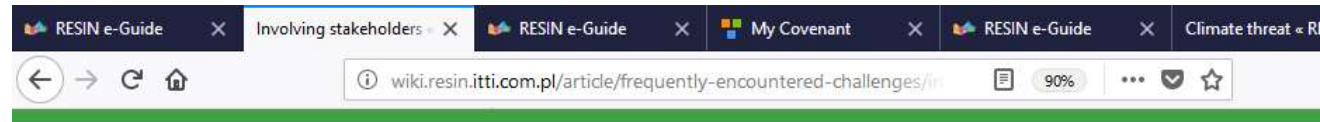
- [heat stress](#) / heat waves,
- [pluvial flooding](#),

# RESIN e-Guide

## Support for uniform outcomes

- Consistent framework of terms

- Definition appears when hovering over term in text



HOME / FREQUENTLY ENCOUNTERED CHALLENGES / INVOLVING STAKEHOLDERS

### Involving Stakeholders.

Edit

#### Why stakeholder involvement in climate adaptation?

Climate and resilience literature indicates that adequate stakeholder involvement is essential for the development and implementation of adaptation strategies<sup>1)(2)(3)</sup>. Adaptation strategies require actions that, for the short-medium term and for longer, provide valuable contributions in risk reduction. Such strategy development can be seen as a complex and ambiguous risk management process, than can only be carried out effectively in close consultation of and collaboration with the stakeholders involved. Developing a strategy and implementing a plan to cope with such a complex challenge has a higher chance of success if stakeholder involvement is ensured. Note: A decision maker can be a stakeholder.

#### Why is it a key challenge?

Planning for successful climate change adaptation strategies requires involvement of many different stakeholders. There are many different stakeholders, and even more persons involved with different interests, perspectives, disciplines, knowledge and experiences. Furthermore, collaboration between the stakeholders (public and private) with different interests and responsibilities is needed. The involvement of stakeholders in the climate adaptation planning process is experienced by many European cities as one of the key challenges in climate adaptation, such as the cities of Paris, Bratislava, Manchester, Bilbao and Almada. The question is who to involve, when to involve and how to do this?

# RESIN e-Guide

## Support for uniform outcomes

- Guidance in required information by use forms

The screenshot displays the RESIN e-Guide interface. At the top, the RESIN logo is on the left, and a navigation menu includes HOME, ABOUT RESIN, TUTORIAL, LEARNING CENTRE, DECISION SUPPORT CENTRE (highlighted), BLOG, and a user icon. Below the navigation bar is a green header with the text "Decision framework".

The main content area features a grid of green buttons for the decision framework steps:

Assess Climate Risk	Develop Adaptation Approaches	Prioritise Adaptation Options	Develop Implementation Plan				
Scoping	Awareness	Role definition	Risk assessment	Goal definition	Baseline	Commitment	Communication
Problem definition	Climate threat	Non-climate related trends	Stakeholders	Time horizon	Context	To be deleted	

Below the grid, a blue link states: "It is suggested to complete ASPECT 1\_1\_1 before". To the right of this link are icons for "NOTES &" and "ASP", and a star icon.

A text box indicates: "Last edited by Albert Nieuwenhuijs on 25.04.2018".

The "Climate threat" section is visible, showing a dropdown menu with "Heat stress" selected. Below this, a text area contains the description: "Description of past occurrence of the threat: All years 2001 - now summer temperatures >30 °C in daytime".

On the right side of the interface, there is a "Notes &" sidebar with a "CREATE NEW NOTE" button. A note titled "Note 1 - 2018-04" is visible, with the text "Did a calculation 2050. Repo" and a link "WEA-1804251".

# RESIN e-Guide

## relation to existing platforms

- Results lined up with UAST and Mayors Adapt reporting tool
- Looking for possibilities for further integration of our solutions on existing platforms (EU-ClimateAdapt, Covenant of Mayors)



# Questions?



# Discussion questions 2nd round

- How can cities set-up a co-creation process and who should they involve?
- Why would you want to use standardized approaches for climate adaptation planning?
- An impossible dream--Any experiences?
- What are limitations of such standardized approaches?
- What do you think of the RESIN approach? Useful? Replicable in other continents?

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